



**Notice of a public meeting of
Audit and Governance Committee**

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| To: | Councillors Hollyer (Chair), J Burton (Vice-Chair), Fisher, Mason, Whitcroft, Merrett and Rose Mr Binney (Independent Member) |
| Date: | Wednesday, 4 September 2024 |
| Time: | 5.30 pm |
| Venue: | West Offices - Station Rise, York YO1 6GA |

AGENDA

1. Declarations of Interest (Pages 1 - 2)

At this point in the meeting, Members and co-opted members are asked to declare any disclosable pecuniary interest, or other registerable interest, they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests. The disclosure must include the nature of the interest.

An interest must also be disclosed in the meeting when it becomes apparent to the member during the meeting.

[Please see attached sheet for further guidance for Members].

2. Minutes & Action Log (Pages 3 - 26)

To approve and sign the minutes of the meetings held on 9 and 31 July 2024 and to consider the committee's action log.

3. Public Participation

At this point in the meeting members of the public who have registered to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the Committee.

Please note that our registration deadlines are set as 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering at this meeting is **5:00pm on Monday, 2 September 2024.**

To register to speak please visit www.york.gov.uk/AttendCouncilMeetings to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of this agenda.

Webcasting of Public Meetings

Please note that, subject to available resources, this meeting will be webcast including any registered public speakers who have given their permission. The meeting can be viewed live and on demand at www.york.gov.uk/webcasts.

During coronavirus, we made some changes to how we ran council meetings, including facilitating remote participation by public speakers. See our updates (www.york.gov.uk/COVIDDemocracy) for more information on meetings and decisions.

4. Corporate Governance Team Report (Pages 27 - 68)

This report provides Members with updates in respect of:

- New internal governance arrangements
- Corporate Governance performance report
- Information Commissioners Office cases
- Ombudsmen cases, complaint handling codes and assessment
- Local Government and Social Care Ombudsman (LGSCO) annual letter and performance
- NHS Data Security and Protection (DSP) Toolkit – self assessment for 2023-2024
- Investigatory Powers Commissioner (IPCO) inspection including Audit and Governance Committee’s “fit for purpose” review of the covert surveillance policy and procedures and data report.

5. Monitors 1 & 2 2024/25 - Key Corporate Risks (Pages 69 - 108)

The purpose of this paper is to present Audit & Governance Committee with an update on the key corporate risks for City of York Council, which is included at Annex A.

6. Treasury Management 2024/25 Quarter 1 report and review of Prudential Indicators (Pages 109 - 130)

Attached at Annex 1 is the Treasury Management Quarter 1 report and Review of Prudential Indicators 2024/25, which provides an update on treasury activity during the quarter and is due to be considered by Executive on 18 September 2024.

7. External Auditor Verbal Update (Pages 131 - 132)

The council's External Auditors are Forvis Mazars and the Director responsible for York will present a verbal update to the committee. This update will cover progress in delivering their responsibilities as the council's external auditors, along with any national issues.

8. Report of the Monitoring Officer on suggested Constitutional Changes (Pages 133 - 200)

To provide the first tranche of suggested constitutional changes to the committee for consideration and discussion.

9. Audit and Governance Work Plan (Pages 201 - 202)

To consider the committee's work plan.

10. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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We can also translate into the following languages:

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (ہولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.